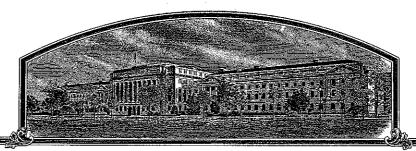
No.



# THE UNITED STATES OF AMERICA

To au to whom these presents shau come: Fioneer Hi-Bred International, Inc.

MACCONS, THERE HAS BEEN PRESENTED TO THE

## Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROFAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLED WITH, AND THE TILE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE FXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW THEREFORE. THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY SAIS, FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC INPLEMISHMENT OF WABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE UGHT TO EXCLUDE OTHERS FROM SELLING WERE NARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR CHRING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURIOSE. OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

CORN, FIELD

'PHC5H'

In Testimone Marrest, I have hereunto set my hand and caused the seal of the Hunt Marrety Protection Office to be affixed at the City of Washington, D.C. this sixth day of September, in the year two thousand and six.

Aus

Olm Jan-Commissioner

Plant Variety Protection Office Agricultural Marketing Service ry of Agriculture

INSTRUCTIONS 200400

GENERAL: To be effectively filed with the Plant Variety Protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed application form signed by the owner; (2) completed exhibits A, B, C, E; (3) for a seed reproduced variety at least 2,500 viable untreated seeds, for a hybrid variety at least 2,500 untreated seeds of each line necessary to reproduce the variety, or for tuber reproduced varieties verification that a viable (in the sense that it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository; (4) check drawn on a U.S. bank for \$3,652 (\$432 filing fee and \$3,220 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice.) Partial applications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfiled. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 401, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initiated and dated. DO NOT use masking materials to make corrections. If a certificate is allowed, you will be requested to send a check payable to "Treasurer of the United States" in the amount of \$432 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

Plant Variety Protection Office Telephone: (301) 504-5518 FAX: (301) 504-5291

Homepage: http://www.ams.usda.gov/science/pvpo/pvp.htm

To avoid conflict with other variety names in use, the applicant must check the appropriate recognized authority and provide evidence that name has been cleared by the appropriate recognized authority before the Certificate of Protection is issued. For example, for agricultural and vegetable crops, contact: Seed Branch, AMS, USDA, 10301 Baltimore Avenue, Suite 401 NAL Building, Beltsville, MD 20705. Telephone: (301) 504-5682 http://www.ams.usda.gov/lsg/seed.htm.

### ITEM

- 19a. Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method;
  - (2) the details of subsequent stages of selection and multiplication;
  - (3) evidence of uniformity and stability; and
  - (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 19b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
  - (1) identify these varieties and state all differences objectively:
  - (2) attach statistical data for characters expressed numerically and demonstrate that these are clear differences; and
  - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 19c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 19d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 19e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
- 20. If "Yes" is specified (seed of this variety be sold by variety name only, as a class of certified seed), the applicant MAY NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See Regulations and Rules of Practice, Section 97.103).
- 23. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
- 24. See Section 55 of the Act for instructions on claiming the benefit of an earlier filing date.
- 22. CONTINUED FROM FRONT (Please provide a statement as to the limitation and sequence of generations that may be certified.)
- 23. CONTINUED FROM FRONT (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

United States, Nov. 1, 2003

24. CONTINUED FROM FRONT (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

NOTES: It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. The fees for filing a change of address; owner's representative; ownership or assignment; or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 1.4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, sexual orientation, marital or family status, political beliefs, parental status, or protected genetic information. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at 202-720-2600 (voice and TDD).

To file a complaint of discrimination, write USDA, Directory Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call 202-720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

## Exhibit A. Origin and Breeding History

Pedigree: PH0WD/PH06B)X#A13312X

Pioneer Line PHC5H, Zea mays L., a white endosperm corn inbred with some flint characteristics, was developed by Pioneer Hi-Bred International, Inc. from the single cross hybrid PH0WD (Certificate No. 9900356) X PH06B using the pedigree method of plant breeding. Varieties PH0WD and PH06B are proprietary inbred lines of Pioneer Hi-Bred International, Inc. Variety PH06B was derived by pedigree selection from the three-way hybrid MO17\*PHP02/PHR03. MO17 is a public inbred, PHP02 (Certificate No. 8800212), PHR03 (Certificate No. 9100097). Selfing was practiced from the above hybrid for 7 generations using pedigree selection. During line development, crosses were made to inbred testers for the purpose of estimating the line's combining ability. Yield trials were grown at Union City, Tennessee as well as other Pioneer research locations. After initial testing, additional hybrid combinations have been evaluated and subsequent generations of the line have been grown and hand-pollinated with observations again made for uniformity.

Variety PHC5H has shown uniformity and stability for all traits as described in Exhibit C - "Objective Description of Variety". It has been self-pollinated and ear-rowed 5 generations with careful attention paid to selection criteria and uniformity of plant type to assure genetic homozygousity and phenotypic stability. The line has been increased both by hand and in isolated fields with continued observations for uniformity and stability, and for 3 generations during the final stages of inbred development and seed multiplication. Very high standards for genetic purity have been established morphologically using field observations and electrophoretically using sound lab molecular marker methodology.

No variant traits have been observed or are expected in PHC5H.

The criteria used in the selection of PHC5H were white endosperm, yield, both per se and in hybrid combinations; late season plant health, grain quality, stalk lodging resistance, and kernel size, especially important in production. Other selection criteria include: ability to germinate in adverse conditions; disease and insect resistance; pollen yield and tassel size.

Exhibit A: Developmental history for PHC5H

Season/Year Pedigree Grown	Inbreeding Level of Pedigree Grown
PH0WD Winter 1997	F0
PH06B Winter 1997	F0
PH0WD/PH06B Summer 1997	<b>F1</b>
PH0WD/PH06B)X	F2
Winter 1997	
PH0WD/PH06B)X#	F3
Summer 1998	
PH0WD/PH06B)X#A1	F4
Summer 1999	pe.
PH0WD/PH06B)X#A13 Winter 1999	F5
PH0WD/PH06B)X#A133 Summer 2000	<b>F</b> 6
	and the second s
PH0WD/PH06B)X#A1331 Winter 2000	<b>17</b>
PH0WD/PH06B)X#A13312	F8
Summer 2001	
PH0WD/PH06B)X#A13312X	F9 SEED

<sup>\*</sup>PHC5H was selfed and ear-rowed from F4 through F8 generation.
#Uniformity and stability were established from F7 through F9 generation and beyond when seed supplies were increased.

## **Exhibit B: Novelty Statement**

Variety PHC5H mostly resembles Pioneer Hi-Bred International, Inc. proprietary inbred line PH0WD (PVP Certificate No. 9900356). Tables 1A and 1B show two sample t-tests on data collected primarily in Johnston and Dallas Center, IA. The traits collectively show measurable differences between the two varieties.

Exhibit B: Novelty Statement

Variety PHC5H has a shorter leaf length (85.0 cm vs 93.3 cm) than variety PH0WD (Table 1A, 1B).

Variety PHC5H has a shorter tassel central spike length (24.9 cm vs 30.3 cm) than variety PH0WD (Table 1A, 1B).

Variety PHC5H has a lower tassel axis floret density (13.3 vs 17.5) than variety PH0WD (Table 1A, 1B).

Variety PHC5H has a higher POLSC (6.0 vs 3.3) than variety PH0WD (Table 1A, 1B).

## **Definitions:**

## **POLSC = POLLEN SCORE.**

A 1 to 9 visual rating indicating the amount of pollen shed. The higher the score the more pollen shed.

Variety PHC5H differs from PH0WD for the marker isozyme at locus *mdh2* (*malate dehdrogenase2*). Standardized isozyme analysis revealed that PH7GD is homozygous for allele *mdh2*-6 while PH0WD is homozygous for allele *mdh2*-3.5.

We have run electrophoretic profiles of isozymes for PHC5H and PH0WD to gather further evidence for distinction. A good reference for the starch gel protocol is: Cardy, BJ, Stuber CW, Goodman MM. 1980. Techniques for Starch Gel Electrophoresis of Enzymes from Maize (Zea mays L.). Institute of Statistics Mimeograph Series No. 1317. North Carolina State University, Raleigh, North Carolina.

Exhibit B: Novelty Statement Tables

PHOWD. Varieties were grown in 3 locations that had different environmental conditions. Environments had different planting dates and were in different Table 1A: Data from Johnston and Dallas Center, IA (2003) broken out by environment are supporting evidence for differences between PHC5H and fields. A two-sample t-test was used to compare differences between means.

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# Exhibit B. Novelty Statement Tables

Table 1B: Summary data from Johnston and Dallas Center, IA (2003) across environments are supporting evidence for differences between PHC5H and PH0WD. Environments had different planting dates and were in different fields. A two-sample t-test was used to compare differences between means.

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## United States Department of Agriculture, Agricultural Marketing Service Science and Technology, Plant Variety Protection Office National Agricultural Library Building, Room 400 Beltsville, MD 20705-2351

## OBJECTIVE DESCRIPTION OF VARIETY CORN (Zea Mays L.)

Name of Applicant(s) Pioneer Hi-Bred Inter	national, Inc	l Variety Seed	Source	l Variety Nar l <b>PHC5H</b>	I Variety Name or Temporary Designation PHC5H			
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		. 13	<u> 20.0</u>	<u>5.7 1</u>	10
4 Leaf Color (Munsell code) 7.5GY 3/4	ve leal)	!	4 (Munsell c	ode) 7.5G	Y 3/4
3 Leaf Sheath Pubescence (Rate on scale from 1=none to	9=like peach fu	ızz) İ	<u>2</u> (anbo b		1 0/ 1
Marginal Waves (Rate on scale from 1=none to 9=many)	•	. 1	_		
Longitudinal Creases (Rate on scale from 1=none to 9=m	any)	1	<del></del>		
6. TASSEL:	St.Dev.	Sample Size I	Mean	St.Dev.	Sample Size
6.4 Number of Primary Lateral Branches	1.35		7.1	1.60	
15.9 Branch Angle from Central Spike	7.14	<u>15</u>   <u>15</u>	<del></del>		<u>15</u> <u>15</u> <u>15</u>
		15	<u>14.0</u>	<u>9.01</u>	10
60.1 cm tassel Length	<u>3.04</u>	<u>15</u>	<u>55.3</u>	<u>3.75</u>	<u>15</u>
(from top leaf collar to tassel tip)		ļ			
6 Pollen Shed (Rate on scale from 0=male sterile to 9=hear	vy shed)	1	<u>5</u>		
1 Anther Color (Munsel code) 5GY 9/4		1	7 (Munsell c	ode) <u>5Y 8</u>	<u>.5/4</u>
2 Glume Color (Munsell code) 5GY 5/6		1	2 (Munsell c	ode) <u>5GY</u>	<u>5/6</u>
1 Bar Glumes (Glume Bands): 1=Absent, 2=Present		I	1	, —	<del></del>
7a. EAR (Unhusked Data):		1			
1 Silk Color (3 days after emergence) (Munsell code)	<u>2.50</u>	<u>GY 8/8</u> I	<u>1</u> Munsell company		Y 9/4
2 Fresh Husk Color (25 days after 50% silking) (Munsell co	ode) <u>5G</u> Y	/ 6/8 I	2 Munsell co	ode <u>5GY</u>	7/8
19 Dry Husk Color (65 days after 50% silking) (Munsell code	e) 5Y 9	9/1	21 Munsell co	ode 2.5Y	8.5/4
3 Position of Ear at Dry Husk Stage: 1=Upright, 2=Horizon			<u>3</u>		
7 Husk Tightness (Rate on scale from 1=very loose to 9=v		ì	8		
3 Hush Extension (at harvest): 1=Short(ears exposed), 2=I		) 3=1 ong	<u>8</u> 3		
(8-10cm beyond ear tip), 4=Very Long (>10cm)	modiam ( -com	), 0 Long	<del>-</del>		
7b. EAR (Husked Ear Data)	St. Dev.	Sample Size I	Mean	St.Dev.	Sample Size
15.8 cm Ear Length	1.01				
		<u>15</u>	<u>12.7</u>	1.11	<u>15</u>
43.6 mm Ear Diameter at mid-point	2.23	<u>15</u>	<u>42.1</u>	<u>2.36</u>	15
<u>119.5</u> gm Ear Weight	<u> 27.32</u>	<u>15</u> l	<u>81.5</u>	<u>21.30</u>	<u>15</u>
14.1 Number of Kernel Rows	<u>1.41</u>	<u>15</u>	<u>17.7</u>	<u>1.28</u>	<u>15</u>
2 Kernel Rows: 1=Indistinct, 2=Distinct		1	<u>2</u>	-	
1 Row Alignment: 1=Straight, 2=Slightly Curved, 3=Spiral		1	$\overline{2}$		
13.8 cm Shank Length	<u>4.43</u>	<u>15</u> l	<u>2</u> _2 <u>5.7</u>	1.22	<u>15</u>
2 Ear Taper: 1=Slight, 2=Average, 3=Extreme		i	1		nioñ•
3. KERNEL (Dried):	St.Dev.	Sample Size I	Mean	St.Dev.	Sample Size
10.9 mm Kernel Length	0.74	15 l	9.7	0.98	15
	0.74 0.64	15 i	<u>5.7</u> <u>6.2</u>	0.5 <u>6</u>	15
		10	<u>0.4</u>		10
8.5 mm Kernel Width	<u>0.65</u>	<u>15</u>	<u>3.9</u> <u>5.8</u>	<u>0.46</u> 2.00	15 15 15 3
8.5 mm Kernel Width 5.0 mm Kernel Thickness			A X	2 (1/1	- 7
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade)	2.77	3 !		2.00	므
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating	2.77		· <u>1</u>	, —	
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating 19 Aleurone Color (Munsell code) 5Y 9/1	2.77	<u>3</u>   		, —	5 <u>Y 8/12</u>
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating	2.77		· <u>1</u>	—— ode <u>2.</u>	
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating 19 Aleurone Color (Munsell code) 5Y 9/1 19 Hard Endosperm Color (Munsell code) 5Y 9/1	2.77 (Describe)	 	<u>1</u> 7 Munsell co 7 Munsell co	—— ode <u>2.</u>	5Y 8/12
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating 19 Aleurone Color (Munsell code) 19 Hard Endosperm Color (Munsell code) 5 Y 9/1 2 Endosperm Type: 1=Sweet(su1), 2=Extra Sweet(sh2), 3=	2.77 (Describe)  =Normal Starch	 	1 / <u>1</u> / Munsell co	—— ode <u>2.</u>	5Y 8/12
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating 19 Aleurone Color (Munsell code) 19 Hard Endosperm Color (Munsell code) 5Y 9/1	2.77 (Describe)  =Normal Starch	 	<u>1</u> 7 Munsell co 7 Munsell co	—— ode <u>2.</u>	5Y 8/12
8.5 mm Kernel Width 5.0 mm Kernel Thickness 23.9 % Round Kernels (Shape Grade) 1 Aleurone Color Pattern: 1=Homozygous, 2=Segregating 19 Aleurone Color (Munsell code) 19 Hard Endosperm Color (Munsell code) 5 Y 9/1 2 Endosperm Type: 1=Sweet(su1), 2=Extra Sweet(sh2), 3: Amylose Starch, 5=Waxy Starch, 6=High Protein, 7=High	2.77 (Describe)  =Normal Starch	 	<u>1</u> 7 Munsell co 7 Munsell co	—— ode <u>2.</u>	5Y 8/12

Note: Use chart on first page to choose color codes for color traits

Page 3

Standard Inbred Data

9. COB:	St.Dev.	Sample Size I	Mean S	t.Dev Sa	mple Size
<ul><li>26.1 mm Cob Diameter at mid-point</li><li>19 Cob Color (Munsell code)</li></ul>	<u>0.88</u> 5Y 9/1	<u>15</u>   	28.5 11 Munsell code	1.06 10R 6/6	<u>15</u>
10. DISEASE RESISTANCE (Rate from 1(most susceptibl if not tested; leave Race or Strain Options blank if polygen A. Leaf Blights, Wilts, and Local Infection Diseases	e) to 9 (most resistant); le	ave blank !			
A Leaf Blights, Wils, and Educat Miection Diseases  _ Anthracnose Leaf Blight (Colletotrichum graminic Common Rust (Puccinia sorghi)  _ Common Smut (Ustilago maydis)  Eyespot (Kabatiella zeae)  Goss's Wilt (Clavibacter michiganense spp. nebras  4 Gray Leaf Spot (Cercospora zeae-maydis)  Helminthosporium Leaf Spot (Bipolaris zeicola)  6 Northern Leaf Blight (Exserohilum turcicum)  Southern Leaf Blight (Bipolaris maydis)  Southern Rust (Puccinia Polysora)  Stewart's Wilt (Erwinia stevartii)  Other (Specify)  B. Systemic Diseases			_ Anthracnose Le Common Rust _ Common Smut Eyespot Goss's Wilt 2 Gray Leaf Spot _ Helminthosporiu 3 Northern Leaf Bl Southern Rust Stewart's Wilt _ Other (Specify)	ım Leaf Spo light ght	ot Race Race Race
Corn Lethal Necrosis (MCMV and MDMV)  7 Head Smut (Sphacelotheca reiliana)  Maize Chlorotic Dwarf Virus (MCDV)  Maize Chlorotic Mottle Virus (MCMV)  Maize Dwarf Mosaic Virus (MDMV)  Sorghum Downy Mildew of Corn (Peronosclerosp Other (Specify)	Strainoora sorghi)		Corn Lethal Nec  9 Head Smut Maize Chlorotic Maize Chlorotic Maize Dwarf M Sorghum Dowr Other (Specify)	Dwarf Viru Mottle Viru osaic Virus Mildew of	is Strain
C. Stalk Rots  4 Anthracnose Stalk Rot (Colletotrichum graminicol Diplodia Stalk Rot (Stenocarpella maydis) Fusarium Stalk Rot (Fusarium moniliforme) Gibberella Stalk Rot (Gibberella zeae) Other (Specify) D. Ear and Kernel Rots	,		3 Anthracnose S Diplodia Stalk Re Fusarium Stalk F Gibberella Stall Other (Specify)	talk Rot ot Rot k Rot	
Aspergillus Ear and Kernel Rot (Aspergillus flavus Diplodia Ear Rot (Stenocarpella maydis) Fusarim Ear and Kernel Rot (Fusarium moniliform Gibberella Ear Rot (Gibberella zeae) Other (Specify)	ne)	. ! ! ! !	Aspergillus Ear Diplodia Ear Ro Fusarium Ear 8 Cibberella Ear Other (Specify)	ot k Kernel Rot Rot	
Application Variety Data	Page 3	ı	Standard Inbred Data	·	

Note: Use chart on first page to choose color codes for color traits.

Application Variety Data Page 4		Page 4	l Standard Inbred Data				
11. INSECT RESISTANCE (F	Rate from 1(most susceptible) to 9 (m	nost resistant); Leave blank					
if not tested		St. Dev. Sample Size					
_ Banks Grass Mite (C	Dligonychus pratensis)		I Banks Grass Mite				
Corn Earworm (Helicover)	oa zea)		l Corn Earworm				
_ Leaf Feeding	•		l Leaf Feeding				
Silk Feeding	_mg larval wt.		l				
_ Ear Damage			I Ear Damage				
Corn Leaf Aphid (Rh	opalosiphum maidis)		l _ Corn Leaf Aphid				
Corn Sap Beetle (Ca	rpophilus dimidiatus)		Corn Sap Beetle				
European Corn Borer (Os	trinia nubilalis)		I European Corn Borer				
1 st Generation (Typic	cally Whorl Leaf Feeding)		I 1 st Generation				
2 nd Generarion (Ty	pically Leaf Sheath-Collar Feeding)		2 nd Generation				
Stalk Tunneling:	cm tunneled/plant						
Fali Armyworm (Spodopte	ra frugiperda)		Fall Armyworm				
_ Leaf-Feeding	3.1		Leaf-Feeding				
Silk-Feeding	mg larval wt.						
Maize Weevil (Sitoph	nilus Zeamaize)	******	Maize Weevil				
_ Maize Weevii (Ollopi	mus Zeamaize)		_ Malze Weeviii				
_ Northern Rootworm	(Diabrotica barberi)		! Northern Rootworm				
	Diabrotica undecimpunctata)		I _ Southern Rootworm				
Southwestern Corn Borer	(Diatraea grandigeella)		Southwestern Corn Borer				
Leaf Feeding	(Diatraea grandiosena)						
Stalk Tunneling:	em turnolod/plant		Leaf Feeding				
Two-enotted Spider I	_ : _cm tumeleu/piant Viite (Tetranychus urticae)		Two spetted Colder Mite				
Wostern Beetwerm (	Diabrotica virgifera virgifera)		J Two-spotted Spider Mite				
			Western Rootworm				
_ Other (Specify)	μ		Other (Specify)				
12. AGRONOMIC TRAITS:			-				
Stav Green (at 65 da	ys after anthesis) (Rate on scale fro	m 1=worst to 9=exellent)	Stay Green				
% Dropped Ears (at 6		,	l % Dropped Ears				
% Pre-anthesis Brittle		•	I % Pre-anthesis Brittle Snapping				
% Pre-anthesis Root L			l % Pre-anthesis Root Lodging				
Post-anthesis Root Lo			l Post-anthesis Root Lodging				
	f Inbred per se (at 12-13% grain moi:	eturo)	I <u>5,729.0</u> Yield				
<u>2,000.0</u> kg/na Fleid Ol	mored per se (at 12-15 % grain mor	siule)	1 <u>5.725.0</u> 11etd				
13. MOLECULAR MARKERS:	(0=data unavailable; 1=data availat	ole but not supplied; 2=data	supplied.)				
1 Isozymes	RFLP's	RAPD's	Other (Specify)				
		_					
REFERENCES:							
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U.S. Department of Agriculture							
	,						

COMMENTS (e. g. state how heat units were calculated, standard inbred seed source, and/or where data was collected. Continue in Exhibit D) Insect, disease, brittle snapping and root lodging data are collected mainly from environment where variability for the trait can be obtained within the experiment.

Our experimental design was set up in a typical complete block design commonly used in agricultural corn research experiments using three locations/environments. One replication was grown at each location. This is one more environment than is required according to the PVP application instructions. Our approach was to test the variety in more than 1 location (as instructed) while also allowing us the extra location/environment if there should be an unexpected failure at a location due to weather or other problems. There may also be situations where an additional year of testing was conducted resulting in 2 years of trial data. There would likely be more variability due to soil type differences, nutrients, or weather typical of different testing environments than if all three trials were grown in the same field on the same farm with the same planting dates in the same year. If you recommend that all locations/environments are grown in the same field with the same planting dates and same year, please let us know and we will adjust our 2007 procedures.

The experimental design and methods for 2003 were as follows:

Please update the exhibit C addendum with this paragraph:

The experiment procedures involved three environments with different planting dates, planted in 17.42 ft. rows with 2 rows for each variety. Approximately 24-30 plants emerged in each of 2 rows for a total of around 48 to 60 plants being evaluated at each location and 144 to 180 plants across locations. For plant level traits, we sampled 5 representative plants from the 2 rows of the 2 row plot (group) of plants at each location. For plot level traits we evaluated the 2 row plot (group) and gave a representative score or average on the 48-60 plants in the group within an experiment.

Some traits can be especially variable under different environmental factors influenced by weather, soil type, or planting dates. Varying temperatures or day length could impact the meristem growth during various tissue differentiation stages. The meristem differentiation of the ear and other tissues could be impacted as well as the success of pollination during flowering and frequency of kernel abortion during grain fill. Such variation could impact some of the traits that you mention because our experiment design does not grow all of the trials in the same field with the same planting date.

I would be happy to share detailed protocols or discuss with you in more detail the sampling, experiment design, reporting, and the conscientious evaluations that went into the characterization of the data..

## CLARIFICATION OF DATA IN EXHIBITS B AND C

200400 214

Please note the data presented in Exhibit B and C, "Objective Description of Variety," are collected primarily at Johnston and Dallas Center, Iowa. The data in Tables 1A and 1B are from two sample t-tests using data collected in Johnston and Dallas Center, IA. These traits in exhibit B collectively show distinct differences between the two varieties.

REPRODUCE LOCALLY. Include form number and edition date on all reproductions. FORM APPROVED - OMB NO. 0581-0055 U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). The information is held **EXHIBIT E** confidential until the certificate is issued (7 U.S.C. 2426). STATEMENT OF THE BASIS OF OWNERSHIP 1. NAME OF APPLICANT(S) 2.TEMPORARY DESIGNATION 3. VARIETY NAME OR EXPERIMENTAL NUMBER PIONEER HI-BRED INTERNATIONAL, INC. PHC5H 4 .ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country) 6. FAX (include area code) 5. TELEPHONE (include area code) 7301 NW 62<sup>nd</sup> AVENUE 515-270-4051 515-253-2125 P.O.BOX 85 7 PVPO NUMBER JOHNSTON, IA 50131-0085 200400 214 8. Does the applicant own all rights to the variety? Mark an "X" in the appropriate block. If no, please explain:

9.Is the applicant (individual or company) a U.S. national or a U.S. based c	company? If no, give name of country 🛛 YES 🔲 NO
10. Is the applicant the original owner? ☑ YES ☐ NO If no, plot a. If the original rights to variety were owned by individual(s), is (are	ease answer <u>one</u> of the following:  ) the original owner(s) a U.S. National(s)?
☐ YES ☐ NO if no, give name of country  b. If the original rights to variety were owned by a company(ies), is (	(gra) the original owner(a) o II S. based company?

11. Additional explanation on ownership (Trace ownership from original breeder to current owner. Use the reverse for extra space if needed):

Pioneer Hi-Bred International, Inc. (PHI), Des Moines, Iowa, and/or its wholly owned subsidiary Pioneer Overseas Corporation (POC), Des Moines, Iowa, is the employer of the plant breeders involved in the selection and development of PHC5H. Pioneer Hi-Bred International and/or Pioneer Overseas Corporation has the sole rights and ownership of PHC5H pursuant to written contracts that assign all rights in the variety to PHI and/or POC at the time such variety was created. No rights to this variety are retained by any individuals.

## PLEASE NOTE:

Plant variety protection can only be afforded to the owners (not licensees) who meet the following criteria:

☑ YES □ NO If no, give name of country

- 1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed the final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definitions.

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